

06/28/01

JC960 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new non-provisional applications under 37 CFR 1.53(b)

Attorney Docket No.

9793822-0149

First Named Inventor or Application Identifier

Masato Imai

Express Mail Label No: EL676990377US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

JC872 U.S. PTO

09/894566

06/28/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification and Claims & Abstract Total Pages 38
2. ☒ Drawing(s) (35USC 113) Total Pages 21
Figs 1-32
3. ☒ Declaration and Power of Attorney Total Pages 5
- a. ☒ Executed(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

i. ☐

DELETION OF INVENTOR(S)

Signed statement attached deleting
Inventor(s) named in the prior

application, see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which
a copy of the copy of the oath or declaration is supplied under Box
3b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by reference
therein.

ACCOMPANYING APPLICATION PARTS

5. ☒ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application,
Statement(s) Status still proper and desired
12. ☒ Certified copy of Japanese priority document No.
P2000-197622.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
TOTAL CLAIMS		13		\$18.00	\$710.00
INDEPENDENT CLAIMS		2		80.00	
	ANY MULTIPLE DEPENDENT CLAIMS?				
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
	TOTAL FEE				\$710.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

A check in the amount of \$710.00 to cover the filing fee is enclosed.

A check in the amount of \$40.00 to cover the recordation of the U.S. Assignment.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606
Telephone 312/876-8000
Customer ID #26263

SIGNATURE

David R. Metzger (Reg. No. 32,819)

Date: June 28, 2001

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL676990377US

Date of Deposit: June 28, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Masato Imai; Kazuyuki Endo and Toshihisa Ochida

Attorney Docket No. 09793822-0149

Transmittal (1 page)

\$710.00 filing fee (13 claims - 2 independent) - Check No. 1140303

Drawings (21 sheets - Figs 1-32)

Executed Declaration - (5 pages)


Letter Under Rule 37 141(c)

Information Disclosure Statement, Form 1449 and cited references

Certified copy of Japanese priority document No. P2000-197622

Executed Assignment with Cover Sheet - \$40.00 recordation fee - Check No. 1140310

Return postcard



Signature of Person Mailing Application
Paula M. Theismann

09793822-0149



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San Francisco
St. Louis
Washington, D.C.

June 28, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
 "Liquid Crystal Display Apparatus and Method for Producing Same"
 Applicant(s) Masato Imai et al
 Attorney Docket No. 09793822-0149

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 13 claims (2 independent), 21 sheets of drawings (Figs. 1-32) and the filing fee of \$710.00 on behalf of

MASATO IMAI; KAZUYUKI ENDO; AND TOSHIHISA UCHIDA

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:

David Metzger

David R. Metzger

Enclosures